



Attorney Docket No. 54008.8080.US00  
P01-0007

1746  
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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: ERIC BERGMAN

APPLICATION NO.: 10/051,860

FILED: JANUARY 16, 2002

FOR: **PROCESSING A WORKPIECE USING OZONE AND  
SONIC ENERGY**

EXAMINER: FRANKIE L. STINSON

ART UNIT: 1746

CONF. NO: 1640

**Supplemental Information Disclosure Statement After First Office  
Action but Before Final Action or Notice of Allowance – 37 C.F.R. §  
1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

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Certificate of Mailing

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 6, 2004  
Date of Deposit

Debbie Gilbert  
Debbie Gilbert

2. Cited Information

A copy of the cited reference is attached.

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))

Applicant elects to pay the fee under 37 C.F.R. § 1.17(p). A check is enclosed for \$180.00.

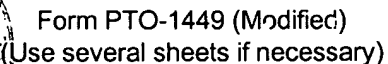
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Respectfully submitted,

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Form PTO-1449 (Modified)  
(Use several sheets if necessary)

## Sheets

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of

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Application Number	10/051,860
Confirmation Number	1640
Filing Date	January 16, 2002
First Named Inventor	Eric BERGMAN
Group Art Unit	1746
Examiner Name	Frankie L. STINSON
Attorney Docket No.	54008.8080.US00 (P01-0007)

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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T

DATE CONSIDERED

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